

Please type a plus sign (+) inside this box → ☒Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))</small>	Attorney Docket No.	PC9990B
	First Named Inventor or Application Identifier	Chikara Uchida, et al.
	Title	Imidazopyridine Compounds as 5-HT4 Receptor Modulators
	Express Mail Label No.	EV245637230US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.**ADDRESS TO:**Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 79]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference in Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☐ Drawing(s) (35 U.S.C. 11.3) [Total sheets
4. ☐ Oath or Declaration [Total pages
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Other: Priority Claim
This is a divisional application of U.S. Serial Number 10/251,109, filed on September 20, 2002, now allowed, which claims the benefit of U.S. Provisional Application Number 60/343,371, filed on October 22, 2001.

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment.
- ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/ 251,109

Prior application information:

Examiner

Group/Art Unit:

18. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below
28523

NAME (Print/type)	Mariha G. Murchiof	Registration No. (Attorney/Agent)	47,811
Signature	<i>Mariha G. Murchiof</i>	Date	July 10, 2003

UTILITY TRANSMITTAL PTO SB 05, 9/99, (1/1)

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small status. See 37 CFR 1.27

Total Amount of Payment (\$834)

Complete If Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Chikara Uchida
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	PC9908

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	<input type="text" value="750"/>
1002	330	2002	165	Design filing fee	<input type="text"/>
1003	520	2003	260	Plant filing fee	<input type="text"/>
1004	750	2004	375	Reissue filing fee	<input type="text"/>
1005	160	2005	80	Provisional filing fee	<input type="text"/>
SUBTOTAL (1)					<input type="text" value="(\$750)"/>

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

	Total Claims	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text" value="4"/>	<input style="border: 1px solid black;" type="text" value="40"/>	<input style="border: 1px solid black;" type="text" value="0"/>	<input style="border: 1px solid black;" type="text" value="0"/>
Independent Claims	<input type="text" value="4"/>	<input style="border: 1px solid black;" type="text" value="3"/>	<input style="border: 1px solid black;" type="text" value="1"/>	<input style="border: 1px solid black;" type="text" value="84"/>
Multiple Dependent			<input style="border: 1px solid black;" type="text" value="280"/>	<input style="border: 1px solid black;" type="text" value="0"/>

** or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				<input type="text" value="(\$84)"/>

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late fee or oath	<input type="text"/>
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	<input type="text"/>
1053	130	2053	130	Non-English specification	<input type="text"/>
1812	2,520	1812	2,520	For filing a request for reexamination	<input type="text"/>
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
1805	1,840*	1805	1,840	Requesting publication of SIR after Examiner action	<input type="text"/>
1251	110	2251	55	Extension for reply within first month	<input type="text"/>
1252	410	2252	205	Extension for reply within second month	<input type="text"/>
1253	930	2253	465	Extension for reply within third month	<input type="text"/>
1254	1,450	2254	725	Extension for reply within fourth month	<input type="text"/>
1255	1,970	2255	985	Extension for reply within fifth month	<input type="text"/>
1401	320	2401	160	Notice of Appeal	<input type="text"/>
1402	320	2402	160	Filing a brief in support of an appeal	<input type="text"/>
1403	280	2403	140	Request for oral hearing	<input type="text"/>
1451	1,510	1451	1,510	Petition to institute a public use proceeding	<input type="text"/>
1452	110	2452	55	Petition to revive - unavoidable	<input type="text"/>
1453	1,300	2453	650	Petition to revive - unintentional	<input type="text"/>
1501	1,300	2501	650	Utility issue fee (or reissue)	<input type="text"/>
1502	470	2502	235	Design issue fee	<input type="text"/>
1503	630	2503	315	Plant issue fee	<input type="text"/>
1460	130	1460	130	Petitions to the Commissioner	<input type="text"/>
1807	50	1807	50	Processing fee under 37 CFR 1.17 (a)	<input type="text"/>
1801	750	2801	375	Request for Continued Examination (RCE)	<input type="text"/>
1806	180	1806	180	Submission of Information Disclosure Statement	<input type="text"/>
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>

Other Fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Printed Type) <input type="text" value="Marius G. Mandyhol"/>	Reg. Number <input type="text" value="47,811"/>
Signature <input type="text" value="Marius G. Mandyhol"/>	Date <input type="text" value="July 10, 2003"/>
	Telephone <input type="text" value="(860) 715-4288"/>

Complete (If Applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form.
EXPRESS MAIL NO. 162456378005 Provide credit card information and authorization on PTO-2038.

FEE TRANSMITTAL PTO SB 17.DOT